

Director of Compliance

About RenPSG

RenPSG provides complete philanthropic solutions through technology-enabled business services including cloud apps, consulting, and administration. We provide technology and service so our clients can focus on their donors' giving and their own growth.

Headquartered and operated in Indianapolis, Indiana, RenPSG is the largest independent philanthropic solutions provider in North America. With 30 years of industry knowledge and experience, RenPSG delivers custom solutions that include tax expertise, accounting support, and impeccable client service, all through our proprietary cloud computing technology. We count financial services firms, elite nonprofit organizations, and leading community foundations as our partners. Currently, RenPSG services \$16 billion in assets, including charitable trusts, donor-advised funds, pooled income funds, endowments, and private foundations.

Director of Compliance

RenPSG is seeking a Director of Compliance. Serving as the Compliance Officer this role oversees the Corporate Compliance Program, functioning as an independent and objective body that reviews and evaluates process controls, compliance issues/concerns and privacy within the organization. The primary responsibility will be to establish and maintain the overall compliance office for RenPSG. The position ensures the Board of Directors, management and employees are following the rules and regulations of regulatory agencies, that company policies and procedures are being followed, and that behavior in the organization meets the company's Standards of Conduct.

In this diverse position you will be responsible for identifying, escalating and remediating high risk operational, strategic, reputational, financial, fraud, privacy, business continuity and/or information security threats. The ability to switch between strategy and more detailed tasks make this a complex, yet highly rewarding role. This position serves as the primary resource for establishing standards and procedures to ensure compliance with all applicable industry laws and regulations.

Duties & Responsibilities:

- Establish and maintain the overall compliance and Enterprise Risk Management program/office – including program strategy, plans and policies/procedures.
- Overseeing all aspects of regulatory compliance – including development of new capabilities
- Manage third party service providers to execute on established compliance objectives

- Identifying and mitigating operational, IT, privacy, compliance, public relations, legal and physical risks via an enterprise-wide risk management program
- Overseeing the development and implementation of policies and procedures
- Serve as a liaison with legal counsel on corporate legal matters
- Provide consultation and advice to all levels of management through various risk management processes, including independent review of policies, procedures, practices, controls, and documentation
- Ensure management is kept abreast of developing and newly enacted legislation and regulation that impact the business of financial institutions and data privacy
- Analyze and assess risk posed by development of new products and services
- Responsible for development and ongoing integrity of an incident reporting system and investigation database and all related data and content.
- Develop, implement, promote and maintain policies and procedures relevant to the program.
- Oversee development and delivery of compliance, privacy and security training, orientation, and education programs for all RenPSG employees, volunteers, and affiliated providers.
- Prepares reports summarizing privacy activities as needed, to share with executive leadership and the Board.
- Strive to continually improve the Compliance, Audit and Privacy program.
- Responsible for supervision, staff development and training of privacy staff positions.

Knowledge, Skills & Abilities:

- 7-15 years overall work experience with a minimum 2 years within in a management role responsible for audit, compliance, and/or risk management in the financial services industry
- Experience and understanding of financial services industry products and processes required
- BS/BA degree from accredited 4 year university
- Strong verbal and written communication skills
- Excellent interpersonal, relationship-building and influencing skills
- Creative and flexible approach to problem solving/troubleshooting
- Ability to work within an ambiguous, fast-moving environment, while also driving toward clarity and solutions
- Demonstrated resourcefulness in successfully meeting deadlines and accomplishing projects
- Rigorous attention to detail
- Highly self-motivated and able to work under pressure

Applying

- Resume and cover letter should be sent to the Talent team at talent@reninc.com.
- Relocation assistance may be available
- Some travel may be required