

# Entity Certification of Powers

ADVISOR SOLUTIONS

For use with bank and brokerage custody accounts.

This form is used for the certification of powers of entities other than trusts and partnerships. Please use the Trustee Certification of Investment Powers for trusts, and the Partnership Certification of Powers for partnerships.

## STEP 1. IDENTIFICATION OF ENTITY

Legal Name of Entity	Account Number (if assigned)	
Account Title	Tax ID	
Effective Date of Entity	Governing State/Country Law	Latest Amendment or Restatement Date

### Type of Entity

Entity/Registration Type (for example: Corporation, Non-Profit Organization, Limited Liability Company, etc.)

## STEP 2. POWERS OF AUTHORIZED PERSONS

The undersigned persons certify that under the entity documents and applicable state or local law, they have the power to enter into transactions for the purchase and sale of securities and other investments, including without limitation, stocks (preferred or common), bonds, mutual funds and certificates of deposit. The undersigned persons also certify that they have the power to hire third parties such as investment advisers and other agents and delegate investment authority to them. In addition, the undersigned persons may order the transfer or delivery of funds, monies or securities to any other person whatsoever, including the persons indicated below ("Authorized Persons") giving such instructions, and make, execute, and deliver under the company seal, if any, and any and all written endorsements, releases and documents necessary or proper to effectuate the authority hereby conferred.

In addition to the stated powers, please select the corresponding box to indicate additional features/authorities permitted by the entity:

<input type="checkbox"/>	<b>Margin/Short Selling/Lending.</b> If checked, the entity authorizes the Authorized Persons to maintain a margin and short account and purchase securities on margin, to sell and borrow securities that the entity does not own (i.e. short selling), to borrow money, and to grant authority to Pershing Advisor Solutions, acting as principal or otherwise, to pledge, re-pledge, hypothecate or re-hypothecate assets of the entity. Margin/Short Selling is only permissible in brokerage custody accounts.
<input type="checkbox"/>	<b>General Borrowing.</b> If checked, the entity authorizes the authorized persons to borrow money or make any contract the effect of which is to borrow money, and secure such obligations by mortgages or other liens upon any entity property; borrow, guarantee and/or pledge any entity assets as collateral, as the case may be, with respect to a loan; guarantee a borrowing of money or to make any contract the effect of which is to guarantee a borrowing, and secure such obligations by mortgages or other liens upon any entity property.
<input type="checkbox"/>	<b>Cash/Asset Management Account.</b> If checked, a cash or asset management account is permitted by the entity. The responsibility for the activity, which may include debit card and/or check writing ability, is entirely with the Authorized Persons. This feature is requested via a separate application. (Note that Corestone is only available in brokerage custody accounts.)
<input type="checkbox"/>	<b>Option Trading.</b> If checked, option trading is authorized by the entity.

NOW THEREFORE BE IT RESOLVED that this certification and authorization evidencing the powers granted to the Authorized Persons by the Entity named herein shall remain in full force and effect until written notice of the revocation thereof shall have been received by

Name of Advisory Firm

and Advisor Solutions.

Advisor Solutions refers to the brokerage services business of Pershing Advisor Solutions LLC ("Pershing Advisor Solutions") and/or the bank custody solutions business of BNY Mellon, National Association ("BNY Mellon, N.A.").

Pershing Advisor Solutions, member FINRA, SIPC, is a wholly owned subsidiary of The Bank of New York Mellon Corporation ("BNY Mellon"). Clearing, custody or other brokerage services may be provided by Pershing LLC, member FINRA, NYSE, SIPC. Pershing Advisor Solutions relies on its affiliate Pershing LLC to provide execution services. Trademark(s) belong to their respective owners.

Bank custody is provided by BNY Mellon, N.A. member FDIC, a wholly owned subsidiary of BNY Mellon.



**STEP 3. IDENTIFICATION OF AUTHORIZED PERSON(S) (MANDATORY)**

I further certify that the following names, titles and signatures of the officers (or others) are authorized by the foregoing resolution to act for this Corporation/Organization.

Authorized Person Printed Name	Date
Title	
Signature X	

Authorized Person Printed Name	Date
Title	
Signature X	

Authorized Person Printed Name	Date
Title	
Signature X	

Authorized Person Printed Name	Date
Title	
Signature X	

Authorized Person Printed Name	Date
Title	
Signature X	

Authorized Person Printed Name	Date
Title	
Signature X	

STEP 4. CERTIFICATION AND SIGNATURE (MANDATORY)

Must be signed by an authorized representative of the entity. The signer may or may not have also signed as an Authorized Person.

BY MY SIGNATURE BELOW, I CERTIFY that, as an authorized representative of the entity, the individuals identified herein are duly authorized by the foregoing resolution to act on behalf of this Entity.

Authorized Person Printed Name	Date
Title	
Signature X	